Corrective Action Request Verification Report

The only official version of this report is the French version.
The report below is a translation of the French.

NOTE: More detailed public summary available in French at http://info.fsc.org/

<table>
<thead>
<tr>
<th>Organization Name:</th>
<th>Syndicat des Producteurs de Bois de l’Estrie</th>
</tr>
</thead>
<tbody>
<tr>
<td>Certificate Code:</td>
<td>SW-FM/COC-004614</td>
</tr>
<tr>
<td>Location:</td>
<td>4300, boul. Bourque Sherbrooke, Qc. J1N 2A6</td>
</tr>
<tr>
<td>Report Date:</td>
<td>November 18, 2011</td>
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</tbody>
</table>

I. AUDIT PROCESS

Auditor, qualifications:
Alexandre Boursier, ing.f., M.Sc.
Audit date: November 10, 2011

Review process:
The auditor reviewed the documents provided by SPBE during a desk audit. Telephone conversations have taken place between the auditor and SPBE in order to clarify some elements and finalize the report.

SPBE provided the following documentation:
- DOC-01 Document de connaissance-mesures de protection1.1
- idfhvc
- Tableau descriptif FHVC
- Annexe 1.a Liste_EMVfloristique
- Annexe 1.b Liste_EMVfaunique
- Annexe 4 Tableau synthèse description des FHVC

Audit overview:
SPBE provided to SmartWood documents aiming to demonstrate conformance with the two Major CARs on September 11, 2011; exactly 6 months after the annual audit report was finalized.

The auditor reviewed the documents in detail on November 10, 2011. The auditor conducted telephone interviews with SPBE personnel between November 10 and 14, 2011.

The auditor did not communicate with stakeholders as part of this audit, because the nature of the non-conformances to be evaluated did not require such interviews.
II. CAR REVIEW

<table>
<thead>
<tr>
<th>Non conformance</th>
<th>Standard reference: 9.1</th>
</tr>
</thead>
<tbody>
<tr>
<td>Major</td>
<td>Minor</td>
</tr>
<tr>
<td>X</td>
<td></td>
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</table>

**Non conformance**

Although there is data available, the SPBE does not have a complete portrait and description of the HCVF on its territory. The SPBE is thus not yet ensuring that all HCVF sites are known, including sites of cultural and social value and that they are managed to conserve their value.

As identification of HCVF is ongoing, the SPBE has not performed an external credible revision of the HCVF evaluation and the final report for identification of HCVF is not available to the public.

The non-conformance with requirements of Principle 9 is not a major non-conformance since the GLSL standard for SLIMF states about these indicators:
- their applicability to SLIMF need to be re-examined;
- some do not apply to SLIMF;
- some must be adapted to SLIMF.

**Corrective Action Request:**
The SPBE shall ensure that a formal exercise of HCVF identification is carried out to identify all HCVF categories. The results of this exercise should be subjected to an external and credible revision and should be available to the public. The identified HCVF must be included in the management plan or in related documents.

**Timeline for conformance:**
Before the next annual audit

**Evidence to close CAR:**
The SPBE completed its HVCF report to add HVCF identification for categories 4 to 6. The preliminary report was submitted to Corridor Appalachien for external reviewing and the most recent version (pre external revision) was made public on the SPBE’s website at the following address: [http://www.spbestrie.qc.ca/fr/public/certification.php](http://www.spbestrie.qc.ca/fr/public/certification.php)

Maps and modalities for HCVF maintenance or restoration are part of the management plan.

The total HCVF area identified by SPBE, without overlapping, is of 87 034 ha.

This CAR is closed.

**CAR Status:** Closed

**Follow up action:** None
III. CONCLUSIONS

Audit Conclusions:

- [x] CAR(s) closed
- [ ] No follow-up required
- [ ] New CAR issued (document new noncompliance in CAR table below)
- [ ] CAR(s) open
- [ ] Company shall be suspended (Major CAR is not met)
- [ ] Minor non-conformance has become a major non-conformance (see CAR tables below)

Comments/ Follow-up actions at next audit:

Report Approved by: Alexandre Boursier

Date: November 18, 2011

NOTE: Report approval is required when auditor is not an authorized to approve SW reports or when the audit conclusion is suspension of the certificate.